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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0551-0031

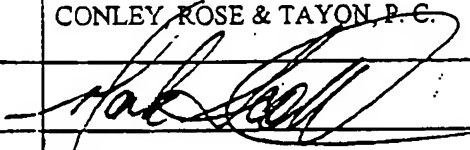
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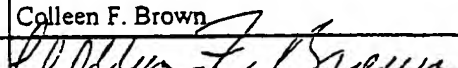
<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/034,718	
	Filing Date	December 28, 2001	
	First Named Inventor	Thomas P. SAWYERS	
	Group Art Unit	2838	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	34	Attorney Docket Number	1662-55400 (P01-3884)

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Charged to Deposit Account <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Declaration (1 p.); 2. Application Data Sheet (2 p.); 3. Part 2-Copy of Missing Parts Notice; 4. Statement Re: Attached Specification; 5. Copy of Specification as filed; and 6. Acknowledgment postcard.
Remarks <input type="text"/>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Mark E. Scott, Reg. No. 43,100 CONLEY ROSE & TAYON, P.C.
Signature	
Date	March 19, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <span style="border: 1px solid black; padding: 2px;">March 19, 2002</span>	
Typed or printed name	Colleen F. Brown
Signature	
Date	March 19, 2002

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MA/CV1662/55400/PTO TRANS 02

## BEST AVAILABLE COPY

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL  
for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 130.00)

## Complete if Known

Application Number	10/034,718
Filing Date	December 28, 2001
First Named Inventor	Thomas P. SAWYERS
Examiner Name	UNKNOWN
Group Art Unit	2838
Attorney Docket No.	1662-55400 (P01-3884)

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
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03-2769

Deposit  
Account  
Name

Conley, Rose &amp; Tayon, P.C.

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.18 and 1.17

- ☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Re-issue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$ - 0 -

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	=
Multiple Dependent Claims	-3** =	X	=

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ - 0 -

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130.00

## SUBMITTED BY

Name (Print/Type)

Mark E. Scott

Registration No.  
(Attorney/Agent)

43,100

## Complete (if applicable)

Telephone

(713) 238-8000

Signature

Date

March 19, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/034,718	12/28/2001	Thomas P. Sawyers	✓ 1662-55400 JMH (P01-3884)

23505  
CONLEY ROSE & TAYON, P.C.  
P. O. BOX 3267  
HOUSTON, TX 77253-3267

mfr:jmh

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FEB 11 2002

CONLEY, ROSE &amp; TAYON-HOU

CONFIRMATION NO. 2121

## FORMALITIES LETTER



\*OC000000007405088\*

Date Mailed: 02/01/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

09/16/2002 SDIRETA1 00000031 032769 10034718  
01 FC:105 130.00 CH

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*James Mun Wai Kok*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*H. Trong*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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## IN THE UNITED STATES PATENT &amp; TRADEMARK OFFICE

APPLICANTS: Thomas P. SAWYERS et al.  
SERIAL NO.: 10/034,718  
FILING DATE: December 28, 2001  
CLIENT NO.: 1662-55400 JMH (P01-3884)  
ENTITLED: A Technique For Conveying Overload Conditions From An AC Adapter  
To A Load Powered By The Adapter

The date stamp of the mail room of the U.S. Patent & Trademark Office hereon will acknowledge receipt of a *Transmittal Form (1 p.) and Fee Transmittal for FY 2002 (1 p.), in duplicate; Declaration (1 p.); Application Data Sheet (2 p.); Part 2-Copy of Notice to File Missing Parts (1 p.); Statement Regarding "Attached" Specification (1 p.); Copy of Specification as filed including drawings (27 p.); \$130.00 Surcharge Fee Charged to Deposit Account; and this acknowledgment postcard*, mailed by U.S. Postal Service as first class mail, postage prepaid on March 19, 2002.

64329.02/1662.55400

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Thomas P. SAWYERS et al.	§	Group Art Unit:	2838
		§		
Serial No.:	10/034,718	§	Examiner:	UNKNOWN
		§		
Filed:	December 28, 2001	§	Atty. Docket No.:	1662-55400
		§		
For:	A Technique For Conveying	§	Client Docket No.:	P01-3884
	Overload Conditions From An	§		
	AC Adapter To A Load Powered	§		
	By The Adapter	§		

STATEMENT REGARDING "ATTACHED" SPECIFICATION

I, Mark E. Scott, Applicants' registered attorney, state that the attached Specification for which the inventor, James KOK Mun Wai, executed the enclosed Declaration is a true copy of the Specification which was filed with the U.S. Patent and Trademark Office in this matter to obtain the filing date.

3/19/2002

DATE



SIGNATURE OF ATTORNEY

Mark E. Scott

Reg. No. 43,100

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ATTORNEY FOR APPLICANTS